

Pamela Sue Farquhar

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SUMMARY OF QUALIFICATIONS

Sixteen years of all aspects of Commodities Operations with strong Client Service focus and Desk Trading.

Series 3 Registered

Thirteen years of GMI experience with familiarity of TT and Bloomberg trading systems

Skilled in building relationships with customers, industry personnel, senior management and co-workers.

Excellent time management skills and the ability to prioritize multiple tasks and meet all deadlines.

EXPERIENCE & ACCOMPLISHMENTS:

UBS Securities LLC, New York, NY (successor to ABN Amro businesses acquired 2006) **(2000-2008)**

Associate Director, Client Relations- Sales and Marketing (2006-2008)

Originated, cultivated and maintained client relationships within client sector focused North American Sales strategy.

Delivered tailored suite of Exchange-Traded Derivative (Futures) services to clients including voice execution, electronic (direct market access) execution, and clearing on over 50 futures and options exchanges, globally.

Served as a primary touch point for key clients.

Coordinated and assisted clients with: Onboarding Data, File Delivery, Execution Platform Training, Clearing Platform Training, Special Needs and Ad Hoc Projects

Associate Vice President, Client Relations - Sales and Marketing (2005-2006) ABN Amro Inc

Coordinate and assist the client with: Onboarding, Data File Delivery, Clearing Platform Training

Served as primary contact for key New York and Houston based clients.

Associate Vice President, Client Relations (2000-2005) ABN Amro Inc

Managed customer accounts on all domestic and foreign exchanges

Responsible for balancing customer P&L, transfers of client funds and collateral control, position reconciliation, delivery and payment of deliverable product.

Liaison with domestic and foreign trading desk to resolve client problems.

Handled presentation and training to prospective and existing clients of proprietary back office system.

Presentations made in conjunction with banking, marketing and IT personnel.

Interfaced customers' systems with our internal systems to assist in daily reconciliation and individualized customer queries.

Assisted trading desk when volumes required additional traders.

Received customer orders and executed orders on Exchange trading floors.

Reported fills to customers and conducted customer checkout at end of day for all filled, cancelled, and open orders.

Responsible for training of new staff on Customer Service Desk.

AIG International Inc., Greenwich, CT **(1998-2000)**

Assistant Manager, FX Options (1999-2000)

Responsibilities focused on manpower and project management. Liaised with senior members of the organization, including the Head of FX Sales and Global Head of FX Operations.

Developed senior management relationships with clients to ensure maintenance of high level client service.

Supervised all personnel responsible for managing customer accounts of FX Options, including vanilla, window, knockout and other exotic options, and providing problem solving for such occurrences as cancelled trades and mismatched confirmations.

Managed everyday production of information including daily margin, P&L and closing the month-end general ledger tie-out and posting of journal entries.

Liaised with analysts in Risk Management and Trading to ensure that risk reported is the same as that which the firm is using in their daily VAR analysis.

Coordinated with Settlements unit to ensure that the FX trades were accurately booked and properly settled.

Commodity Finance Specialist (1998-1999)

Provided liquidity management and risk mitigation for the production, purchase and sale of raw, semi-refined or semi-processed materials.
Analyzed the flow of goods and their origins with repayment realized from the export and sale of commodities in hard currency countries.
Structures included Commodity Price Swaps, Total Return Swaps, Total Return Basis Swaps, Cash and Carry, and Forward Sales transactions.
Tracked and managed collateral through audits, onsite inspections, and use of third party investigation teams.
Marked to market the collateral and ensured the appropriate hedge was in place for the specific structure.
Reported to senior risk and finance management and the CIPO desk analysts.

Merrill Lynch Futures Inc., Jersey City, NJ

(1995–1998)

Commodity Finance Specialist (1996-1998)

Handled commodity trade financing using traditional financing techniques, particularly letters of credit secured by warehouse receipts.
Responsible for all mark-to-market, margining and margin excess or deficit payout/receipt and tracking and exchange of warehouse receipts.
Verified hedge position with trading desk based on mark-to-market and receipts being held.

Margin Specialist (1995-1996)

Responsible for daily margining of customer accounts and initiating margin calls, trade corrections, P&S offsets.

MBF Clearing Corp., New York, NY

(1993-1995)

Customer Service

Responsible for daily margin of customer accounts, trade reconciliation, and general customer service for a primarily local trading clientele.
Assisted order desk in overnight trading and receiving customer day orders and executing trades through telephone fills with the NYMEX floor.

EDUCATION

Bachelor of Liberal Arts

Pennsylvania State University, University Park, Pennsylvania